



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

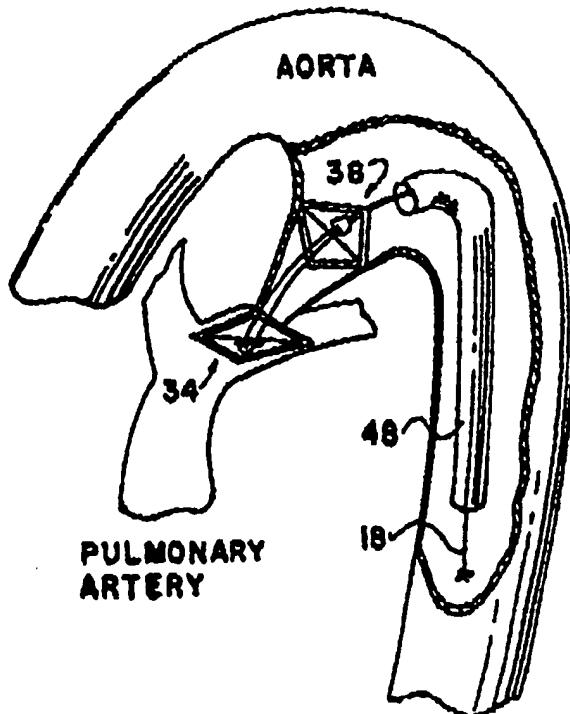
(51) International Patent Classification <sup>6</sup> :	A1	(11) International Publication Number:	WO 95/28885
A61B 17/00		(43) International Publication Date:	2 November 1995 (02.11.95)

(21) International Application Number:	PCT/GR94/00007	(81) Designated States: AU, CA, CN, JP, KR, NZ, RU, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date:	22 April 1994 (22.04.94)	
(71) Applicant: SIDERIS-MESSINI, Chrysoula [GR/GR]; 21 Rizariou Street, GR-152 33 Athens (GR).		Published <i>With international search report.</i>
(71)(72) Applicant and Inventor: SIDERIS, Eleftherios [GR/GR]; 21 Rizariou Street, GR-152 33 Halandri (GR).		

## (54) Title: ADJUSTABLE DEVICES FOR THE OCCLUSION OF CARDIAC DEFECTS

## (57) Abstract

An intravascular prosthesis is delivered transarterially or transvenously to occlude cardiac defects. The defects, which may include the patent ductus arteriosus, the ventricular septal defect and the atrial septal defect. The prosthesis is a device having a distal occluder attached to a string and a proximal occluder connected to the string. The occluders are delivered to the heart by known methods. With the distal occluder on the distal side of the defect and the proximal occluder on the proximal side of the defect the occluders are adjusted according to the thickness of the heart structure at the defect. In one embodiment the adjustment is by moving the distal occluder over a series of knots or buttons in the string. In another embodiment the proximal occluder is connected to the distal occluder by an elastic string so that the elastic tension of the strings bring the occluders into position. A radiopaque button is placed upon the string to aid positioning the occluders.



***FOR THE PURPOSES OF INFORMATION ONLY***

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

## SPECIFICATIONS

### "Adjustable Devices for the Occlusion of Cardiac Defects."

The present invention relates to intravascular prostheses, delivered transarterially or transvenously, for the occlusion of cardiac defects. Such defects include the patent ductus arteriosus, the ventricular septal defect and the atrial septal defect. U.S. Patents: KING et al U.S. Pat No.3,874,388 SIDERIS, U.S. Pat.No.4,917,089. Publications: Rashkind-circulation-vol 67, No.4, April 1983.

Patent ductus arteriosus is an important vessel for the fetus, because a large percentage of the cardiac output is bypassing the lungs through the ductus. However, the ductus after birth is constricted after exposure to oxygen in the majority of children. Patency of ductus arteriosus after birth is common in premature babies (30%), and uncommon in term babies (<1%). Persistence of the ductus arteriosus is associated with left to right shunt with increased volume of blood crossing from the aorta to the pulmonary artery and the lungs. Left to right shunt can cause deterioration of the lung disease in premature infants, congestive heart failure in infancy and pulmonary vascular obstructive disease in older children. Patency of ductus arteriosus carries the risk of infection (endarteritis or endocarditis), in all ages. For this reason occlusion or surgical ligation of the ductus arteriosus is recommended in all cases.

Surgical ligation or division carries low risk especially in older children. However, it is always associated with significant morbidity because of the need of thoracotomy, general anesthesia, placement of chest tubes, intensive care. The discomfort and the expense are

- 2 -

significant. For these reasons attempts have been made for the transcatheter occlusion of patent ductus arteriosus.

Ventricular septal defect is the commonest heart defect. It causes congestive heart failure to a number of children and it often requires surgery in early life. Unfortunately most of the  
5 defects do not have adequate rim and therefore are not amenable to umbrella occlusion.

However, some of them (muscular VSD's) could be closed provided that a device existed to be applicable in small children and adjustable for the ventricular septal thickness.

Historically, the first transcatheter occlusion of PDA was performed by Posner in Germany transarterially, utilizing an Ivalon foam plug. The method has been used primarily by  
10 German and Japanese investigators in adults and older children. It requires a large femoral artery for the entry and it is associated with significant arterial complications. Sporadic reports about detachable balloons, special bags, or metals have followed.

KINGS and MILLS invented a Double Disk device, (U.S.Patent No. 3,874,388) for the occlusion of intracardiac defects and primarily ASD's. The device was bulky, requiring  
15 a 23F introduction. Modifications on the same principle were made by others. The most successful one was the one by RASHKIND, commercialized by BARD. The device employs two discs on the same catheter. The disks are made by polyurethane foam and a metal skeleton. They are connected through a complex release mechanism to the main catheter. The distal disk is released in the arterial side of the ductus and the proximal disk  
20 in the pulmonary artery side, in case of transvenous PDA occlusion. The RASHKIND

- 3 -

- device requires a large introduction (11F) for PDA's larger than 4mm and an 8F introducing sheath, for small PDA's. Therefore, the method has not found application in small children with large communications. Indeed this is the group where occlusion is most urgent. Furthermore, it is not applicable to long and tubular PDA's or even very short ones.
- 5 Because of the large introducing sheath, there is often the need of dilation of the small ductus by angioplasty balloons prior to the occlusion. The incidence of residual shunts is significant, especially in large PDA's. A common objection to the method is the persistence of the ductal channel despite its occlusion, since the device is only obstructing the narrow part of the ductus.
- 10 Another recent modification of the KINGS and MILLS device was the "Clumshell" device. It was applied successfully for ASD, PDA and VSD occlusion. However, it was withdrawn because of wire fracture. It was quite bulky, requiring an 11F introduction and therefore was not applicable in small children.
- In 1990 a patent was issued for the "Buttoned Device For the Occlusion of the Intracardiac 15 Defects" (U.S. Pat.No.4,917,089). This device is made by two independently introduced disks that are eventually buttoned across a defect. It requires a small sheath (7-8F) for introduction and it can be used in small children. However, the distance between the two disks is predetermined since the button loop has a length of 2-4mm for the ASD application.
- Therefore, what it can be applicable for an ASD occlusion cannot be used for a long 20 "tubular" PDA occlusion. Ventricular septum has a different thickness than the atrial septum and therefore an ASD occluding buttoned device cannot be used for a ventricular

- 4 -

septal defect occlusion.

The intracardiac devices of the current invention provide the means of transarterial or transvenous without surgery occlusion of heart defects. Two such adjustable devices will be described; an adjustable buttoned device and a self-adjustable two disk device.

- 5     The buttoned device: The occlusion can be transvenous or transarterial and can be achieved by the independent introduction of the two buttoned components, the occluder and the counter-occluder. All components have been described in inventor's U.S. Pat No.4,917,089.

Several differences exist though, to the loop connected to the center of the occluder. In a preferred embodiment of the loop aspect of the occluder, the 3.0 nylon loop is 8mm long.

- 10    It comprises of a terminal 1mm loop, a radiopaque button, a middle 2mm loop and a proximal 2mm loop. The individual loops and the radiopaque button are separated by triple knots. Because of the length of the loop and the several knots (buttons) the device can be adjusted during buttoning to PDA's of variable length and septal defects with variable thickness.

- 15    Since the introduction for 15 and 20mm occluders can be achieved through small (7F or 2.3mm) sheaths the device can even be used in small children.

The double disk device: It consists of the following components; the proximal disk, the distal disk, the connecting suture between them (elastic suture and safety nylon thread) and the release wire. In a preferred embodiment of the distal disk of the device, it is made by a

- 5 -

single skeleton wire sutured on polyurethane or woven material disk.

The wire is 0.018" and comprises a fluorocarbon resin (TEFLON) coated hollow outer part with a 0.009" central stainless steel part. It is rounded in the middle with a diameter equal to the skeleton wire length and it is narrowly angled at the wire ends for easier introduction.

- 5 Another aspect of the present invention is the proximal disk. It is made exactly like the distal disk. An important aspect of this device is the connecting suture between the two disks.

The connecting suture has two components; the elastic suture and the safety nylon thread.

- 10 They are connected at the center of the bottom surface of the distal disk and the center of the top surface of the proximal disk. The elastic suture is a Latex suture, and has a 2mm length when relaxed and a 10mm length when stretched. the other suture is a 3.0 nylon one and has a length of 10mm.

On the bottom surface of the proximal disk, a 1mm nylon loop, made by 3.0 nylon is sutured.

- 15 Another aspect of the device is the release wire. It is a 0.035" fluorocarbon resin (TEFLON) coated hollow wire with a double 0.008" nylon thread connected to the nylon loop of the proximal disk.

The device is introduced into a 5-6F long sheath. The sheath is positioned across the defect; the distal disk is released and it is pulled against the tip of the long sheath to become perpendicular to it; subsequently both sheath and distal disk are pulled, until the disk is

- 6 -

occluding the defect. The sheath is carefully pulled back with the device stretched until the proximal disk is totally released in the proximal to the defect chamber. The stretching is then relaxed and the proximal disk is automatically occluding the defect. Manipulations are possible under fluoroscopy and echocardiography. The release of the device is achieved  
5 through the same mechanism as the buttoned device.

In accordance with the principles of the present invention, the adjustable devices have significant advantages over known devices and specifically the RASHKIND device, the KING and MILLS device and the classical buttoned device. They are miniaturized in size and can be adjusted for the variable length of the ductus or the thickness of the  
10 ventricular septum.

An object of this invention is to provide adjustable occluding devices small enough to be introduced in any size child.

Another object of this invention is to be able to adjust the length between the two disks according to the length of the ductus or the thickness of the septum.

15 Another is to obtain universal application in defects of various size and shape.

Further objects are to achieve the above with devices that are sturdy, compact, durable, lightweight, simple, safe, efficient, versatile, ecologically compatible, energy conserving, and reliable, yet inexpensive and easy to manufacture, install and maintain.

Other objects are to achieve the above with a method that is rapid, versatile, ecologically

- 7 -

compatible, energy conserving, efficient, and inexpensive, and does not require highly skilled people to install, and maintain.

The specific nature of the invention, as well as other objects, uses, and advantages thereof, will clearly appear from the following description and from the accompanying drawings, the  
5 different views of which are not necessarily scale drawings.

FIG.1. is a perspective view of the preferred embodiment of the intracardiac buttoned device prosthesis with the occluder connected through the button loop with the loading wire.

FIG.1a. is a perspective view of a counter occluder.

FIG.2. is a perspective view of the button loop connection with the occluder wire skeleton.

10 FIG 2a. is a perspective view of the occluder, the wire frame of which was shown in FIG.2.

FIG.3. is a perspective view of the self-adjustable double disk in the unfolding condition.

FIG.4. is a perspective view of the self-adjustable double disk, folded and introduced in the sheath.

FIG.5. is a cross-sectional view of double disk self adjustable device, occluding a patent  
15 ductus arteriosus.

As an aid to correlating the terms of the claims to the exemplary drawing(s), the following catalog of elements and steps is provided:

10 occluder (or distal occluder)

12 buttoned loop (or string)

20 14 counter-occluder (or proximal occluder)

16 terminal small loop

- 8 -

- 18 loading or release wire  
20 radiopaque button  
22 middle loop  
24 first loop  
5 26 middle button  
28 terminal three knots  
30 two knots on top of the occluder  
32 three knots on the bottom of the occluder  
34 distal disk (or distal occluder)  
10 36 connecting threads (or string)  
38 proximal disk (or proximal occluder)  
40 skeleton wire  
42 nylon safety thread 43 Nylon Loop  
44 elastic thread  
15 46 long sheath  
48 pusher catheter
- Referring to the drawings, and FIG.1 in particular, there is illustrated occluder 10 (or distal occluder) of the buttoned device connected to button loop 12 (or string) and counter-occluder 14 (or proximal occluder). Occluder and counter-occluder have been described in detail in U.S. Pat No. 4,917,089. The button loop 12 is made by 3.0 nylong thread and it

- 9 -

consists of:

- a) terminal small loop 16 with a diameter of 1-2mm; the terminal small loop accommodates the nylon thread of loading wire 18.
- b) radiopaque "buttoned" 20 with a length of 1mm; this is made by 0.035" hollow fluorocarbon resin (TEFLON) coated wire and it is separated by three knots from the terminal loop 16 and middle loop 22.
- c) the middle loop 22 has a diameter of 2-3mm and it is separated from the radiopaque button 20 and separated from the first loop 24 by three knots.
- d) the three knots between the middle loop 22 and first loop 24 form middle button 26.
- e) the first loop 254 has a diameter of 3mm and its limits are the middle button 26 and terminal three knots 28.

FIG.2. shows the connection of the button loop 12 to the occluder 10, the two ends of the nylon thread are introduced upwards through the foam in corners (A,B). They are tightened with two knots 30 on top of occluder 10. Subsequently the ends of the nylon thread are turned down through the foam at the corners (C,D). They are tightened at the bottom of occluder 10 by three knots 32. The method of adjustable buttoning, involves entry of one of the buttons of the button loop 12 through the rubber center of the counter-occluder 14 and the attachment by the valve-like action. If the length of the ductus or the thickness of the septum are more than 5-6mm the counter-occluder stops right after the radiopaque button 20. If the ductus or the septal thickness are less than 3mm the counter-occluder crosses the middle button 26. Intermediate situations can be also accommodated in a similar manner.

- 10 -

FIG.3. shows the two disk self-adjustable device. From the top to the bottom, there is distal disk 34, distal occluder 34; the connecting suture 36 (or string) between two disks; proximal disk 38 (or distal occluder); and release wire 18. The distal disk 34 is made by polyurethane foam or woven material and has rounded shaped. Single or double coated wire 40 has floppy ends.

At the center of skeleton wire of the lower surface of the distal disk 34, the connecting sutures are inserted. These are two connecting sutures, one elastic 42 with a relaxed length of 1-2mm and a stretched length of 10mm and nylon safety thread 44. The safety thread 44 has a length of 10mm. The connecting suturers connect the 10 lower surface of the distal disk 34 and the upper surface of the proximal disk 38 in the middle of their respective skeleton wires.

The proximal disk 38 is made by polyurethane foam or woven material and has the same size and shape as the distal disk 34. A 1mm diameter mylon loop 43 is sutured at the bottom of the proximal disk 38.

15 FIG.4. shows the introduction of the device into long sheath 46. The distal disk 34 is first introduced, followed by the proximal disk 38. Pusher catheter 48 is introduced over the loading wire into the long sheath 46.

FIG.5. shows a patent ductus arteriosus occluded with the self-adjustable double disk device transarterially. The distal disk 34 has been released in the pulmonary artery and 20 pulled against the pulmonary end of the ductus. The long sheath 46 is then pulled in the aorta where the proximal disk 38 is released and it is automatically pulled by the elastic

- 11 -

thread on the arterial end of the ductus. Because of the elastic connecting thread 44, the device can be self-adjusted for the length of the ductus. The release is achieved the same way as in buttoned device.

The embodiment shown and described above is only exemplary. I do not claim to have  
5 invented all the parts, elements or steps described. Various modifications can be made in  
the construction, material, arrangement, and operation, and still be within the scope of  
my invention.

The restrictive description and drawings of the specific examples above do not point out  
what an infringement of this patent would be, but are to enable one skilled in the art to  
10 make and use the invention. The limits of the invention and the bounds of the patent  
protection are measured by and defined in the claims.

- 12 -

**CLAIMS**

---

1. An intracardiac percutaneously deliverable device for the repair of heart defects comprising.

an occluder, said occluder including:

- 5      i. a foldable foam resin disk,  
ii. a coated wire skeleton in the form of an X sutured to the foam disk,  
iii. an adjustable loop sutured to the center of the wire skeleton,

said adjustable loop is formed by:

- i. a first loop, connected to said wire skeleton,  
10    ii. a middle loop, connected to said first loop,  
iii. a terminal small loop, connected to said middle loop, and  
iv. a radiopaque button attached between two of the loops of said adjustable loop,

a counter-occluder

a loading wire, wherein said loading wire is a fluorocarbon resin coated hollow wire

15    a long double thread going through the terminal small loop, into one end and through the hollow wire, and tied at the other end of the hollow wire; wherein the counter-occluder may be pushed along the loading wire toward the occluder and stop at a distance adjusted according to the length or thickness of the occluded structure.

2. An intracardiac percutaneously deliverable device for the repair of heart defects

20    comprising;

- i. a distal folding disk made of polyurethane or woven fabric on a wire skeleton,

- 13 -

- ii. a proximal folding disk made of polyurethane or woven fabric on a wire skeleton,
  - iii. the distal folding disk is connected to the proximal folding disk by an elastic thread and a NYLON security thread,
  - iv. the elastic thread has a length of 1.2mm at rest and 10mm under tension and the
  - 5 NYLON thread has a length of 10mm,
  - v. a release wire, connected to a 1mm suture loop which is connected to the bottom of the proximal disk.
3. A method of occluding a heart defect through a heart structure comprising the steps of:
- i. attaching a distal occluder to a string having a series of buttons,
  - 10 ii. connecting a proximal occluder to the string,
  - iii. placing the distal occluder on a distal side of the heart structure,
  - iv. placing the proximal occluder on a proximal side of the heart structure, and
  - v. adjusting a length of string between the disks to the approximate thickness of the heart structure by
- 15 vi. moving the proximal occluder over the series of buttons on the string until the occluders are in the occluding position, thereby
- vii. holding the distal occluder in an occluding position over the defect on the distal side,  
and
- viii. holding the proximal occluder in an occluding position over the defect on the proximal  
20 side.
4. A method of occluding a heart defect through a heart structure comprising the steps of:
- i. attaching a distal occluder to an elastic string,

- 14 -

- ii. connecting a proximal occluder to the elastic string,
- iii. placing the distal occluder on a distal side of the heart structure,
- iv. placing the proximal occluder on a proximal side of the heart structure, and
- v. adjusting a length of string between the disks to the approximate thickness of the heart

5 structure by

- vi. stretching the elastic string to position the proximal occluder, thereby
- vii. holding the distal occluder in an occluding position over the defect on the distal side,  
and
- viii. holding the proximal occluder in an occluding position over the defect on the proximal  
side.

10

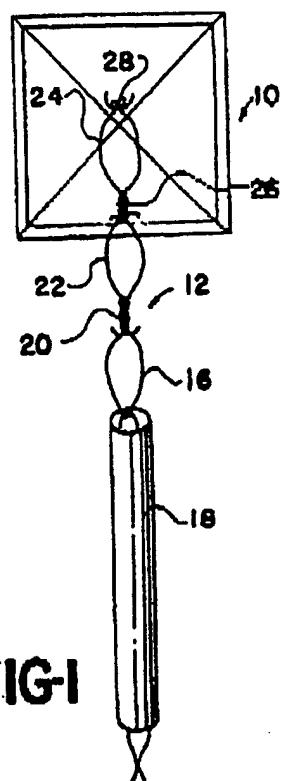


FIG-1

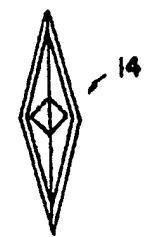


FIG-1a

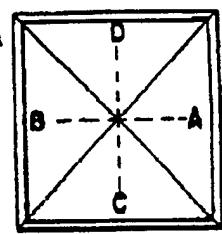
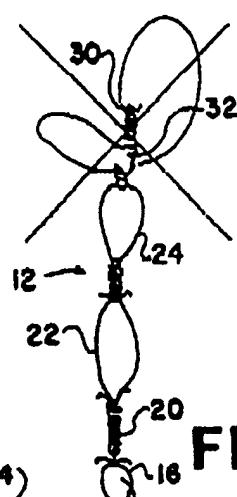


FIG-2a

FIG-2

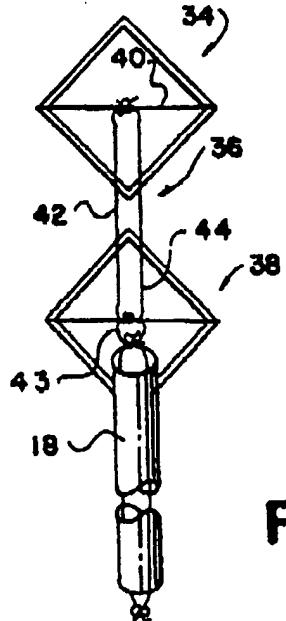
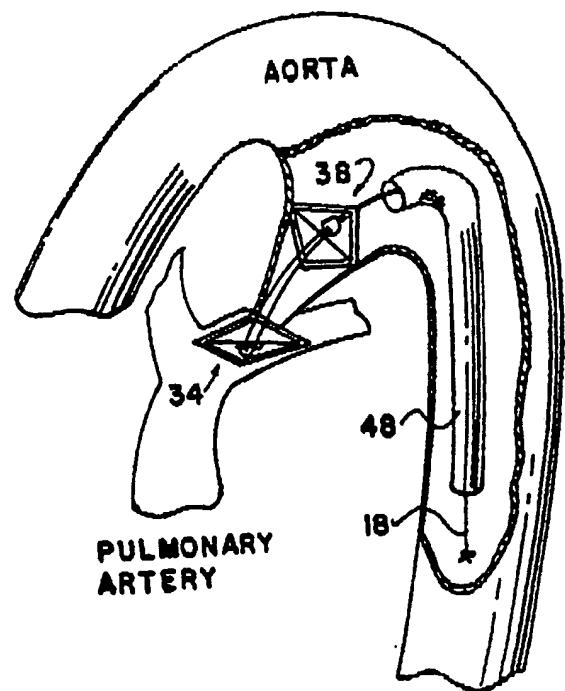
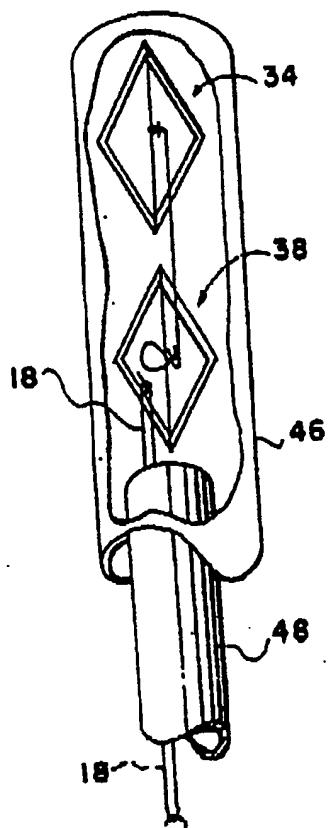


FIG-3

2 / 2



## INTERNATIONAL SEARCH REPORT

Int. Application No  
PCT/GR 94/00007

**A. CLASSIFICATION OF SUBJECT MATTER**  
**IPC 6 A61B17/00**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
**IPC 6 A61B**

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A,5 284 488 (SIDERIS) 8 February 1994 see claims 1,2 -----	1-4

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*&\* document member of the same patent family

Date of the actual completion of the international search

13 December 1994

Date of mailing of the international search report

23.12.94

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 RIV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+31-70) 340-3016

Authorized officer

Moers, R

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

Int'l Application No  
PCT/GR 94/00007

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5284488	08-02-94	NONE	